

# Who Has It?

## The Epidemiology of NTM



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# Presentation Outline

- I. Background on the epidemiology of NTM
- II. Recent findings from epidemiologic studies on pulmonary NTM in the United States
- III. Summary and future research needs

# NTM and Chronic Lung Disease

- Environmental bacteria with >180 species identified
  - Geographic variation in species distribution
  - Ubiquitous in soil and water sources for many exposures
- Can cause pulmonary disease in susceptible persons
  - Severe and chronic infection in affected individuals



# NTM Disease: Host versus Environment

## Environment

- Individual exposures
  - Local soil
  - Local water sources/distribution
- Environmental conditions
  - Climate
  - Elevation
  - Mycobacterial species present

## Host

- Behavioral factors
  - Smoking
  - Activities (gardening, swimming)
- Comorbidities/genetic risk factors
  - Pulmonary defects (CF, COPD)
  - Connective tissue defects
  - Other (race/ethnicity as proxy?)



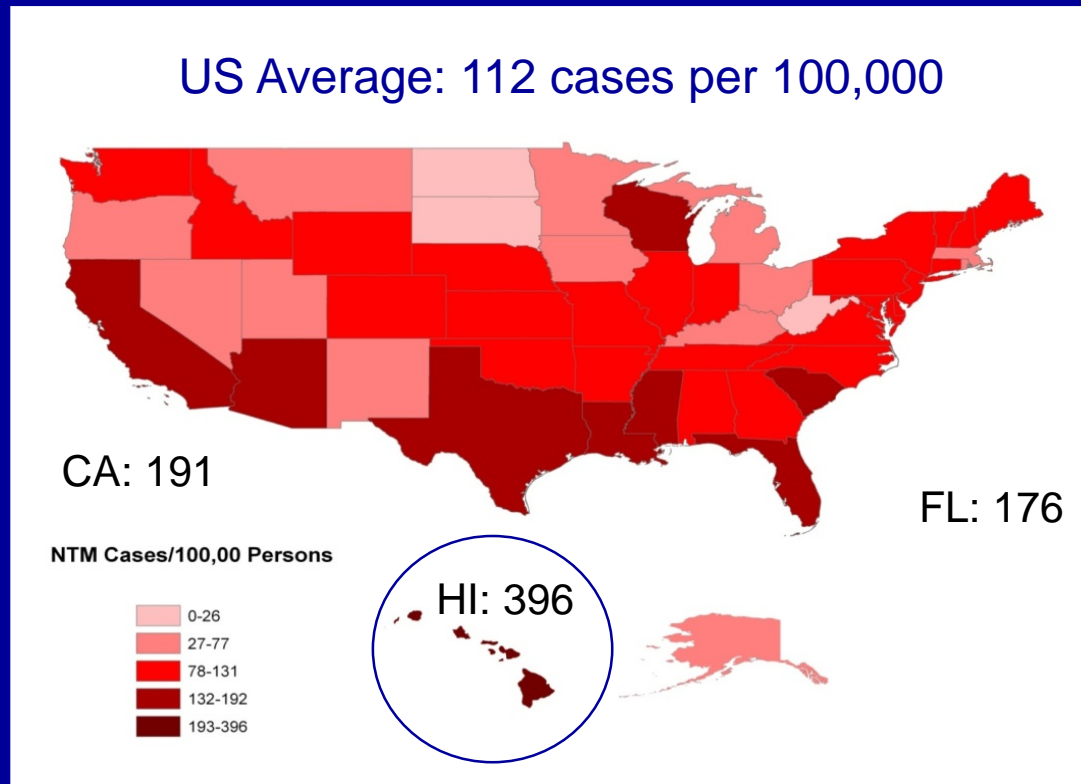
NTM Lung Disease

# Epidemiology of NTM Lung Disease

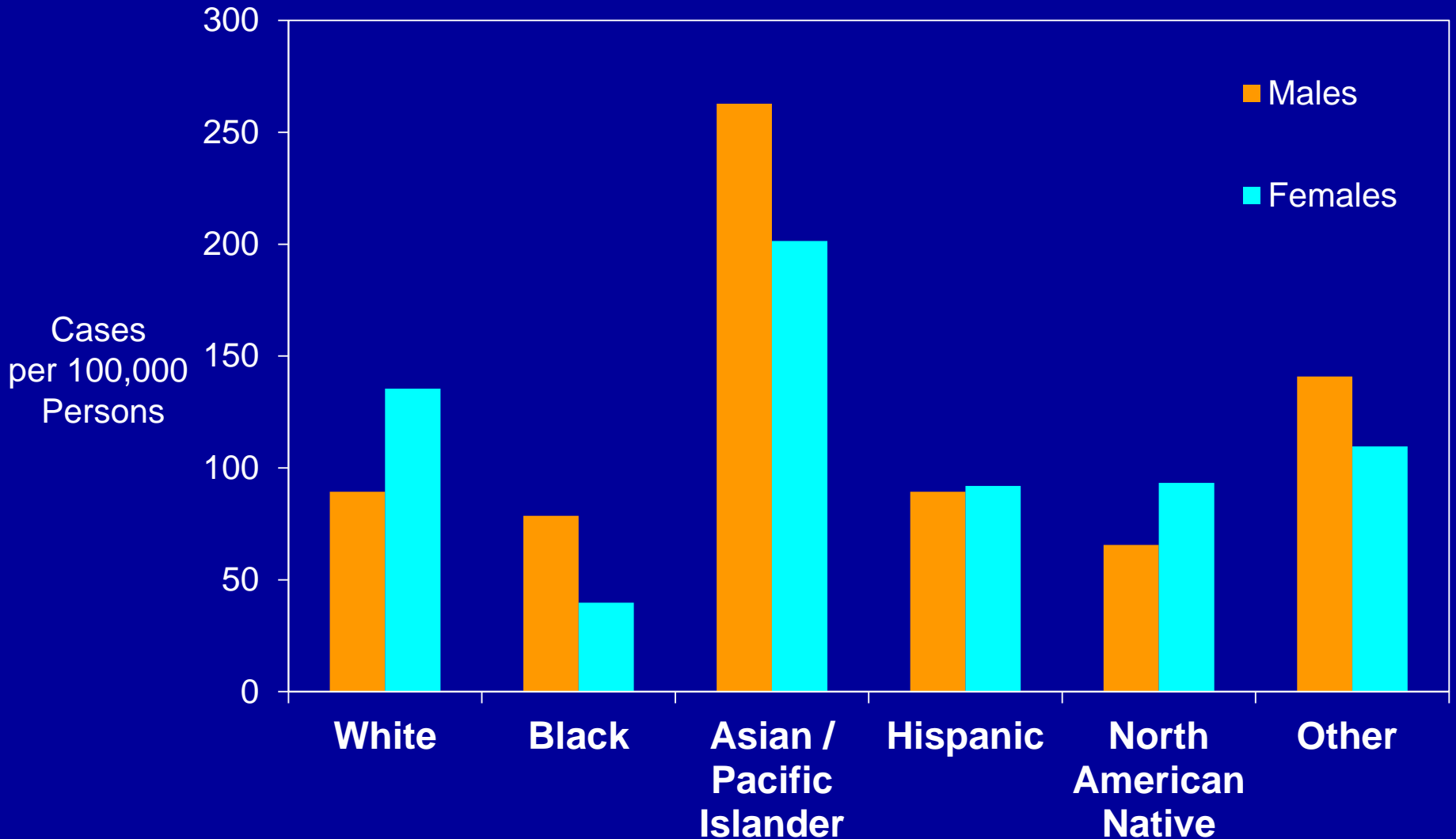
- Only reportable in 11 states and not a nationally notifiable disease to CDC, so other data sources needed
  - Local studies (surveillance, site studies)
  - Large national datasets (lab/claims-based, patient registries)
    - Each targets different questions based on strengths/limitations
- ATS/IDSA-defined PNTM disease requires strict criteria
  - Presents challenges in estimating actual prevalence due to differences in access and use of medical services needed
    - Varies across populations by socioeconomic status

# First US Prevalence Estimates for Pulmonary NTM (PNTM) in Medicare Data

- Increasing national prevalence by 8% per year
- Significant geographic differences



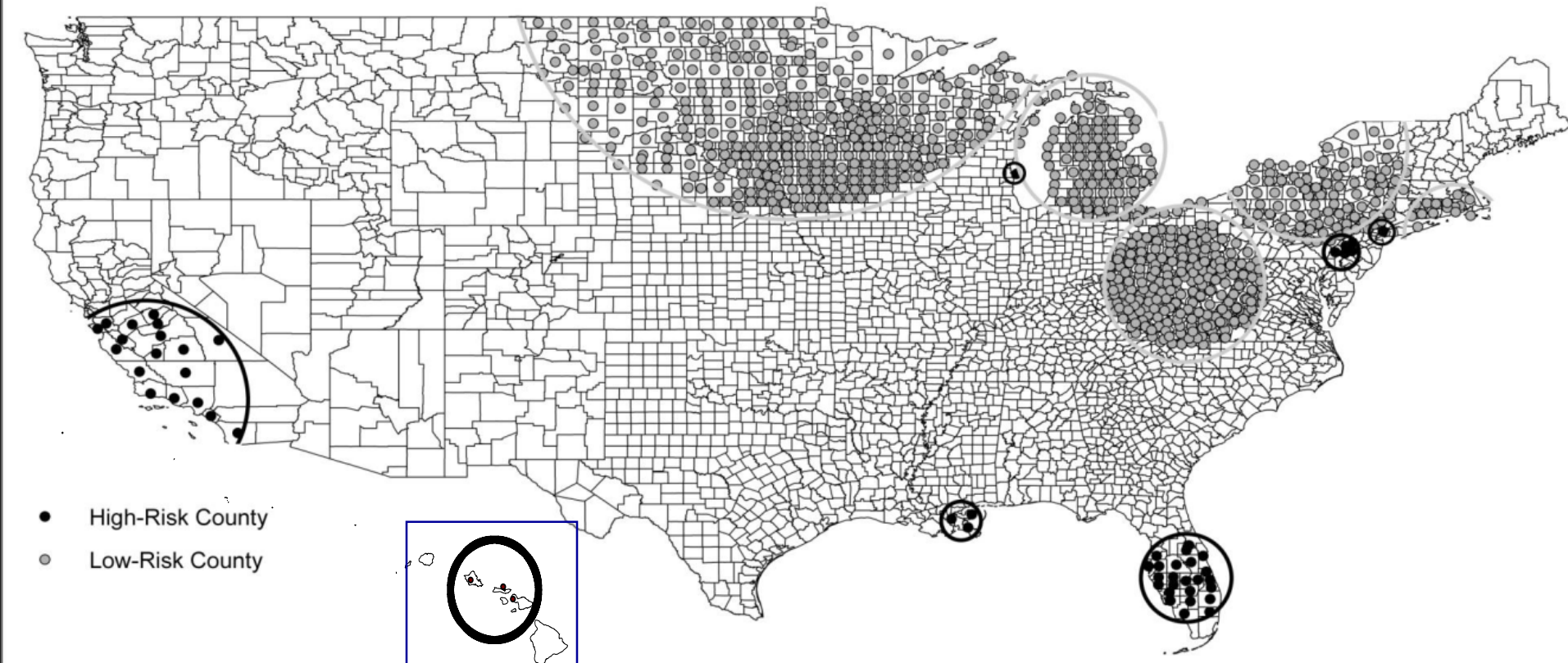
# PNTM Period Prevalence by Sex and Race/Ethnicity, US Medicare Beneficiaries Aged >65 years



# Spatial Clusters of Nontuberculous Mycobacterial Lung Disease in the United States

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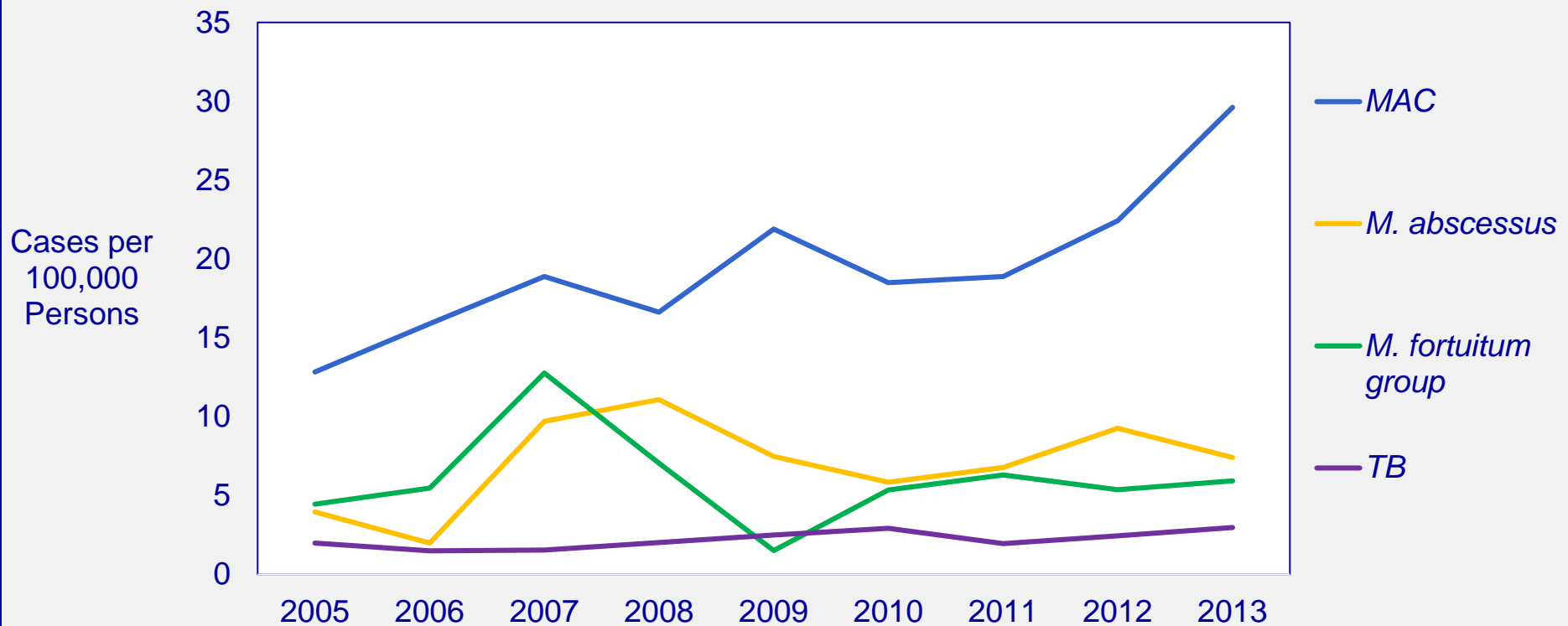


- High risk counties: greater surface water (OR 4.6), evapotranspiration (4.0), Cu (1.2) & Na (1.9) and lower manganese (0.7)

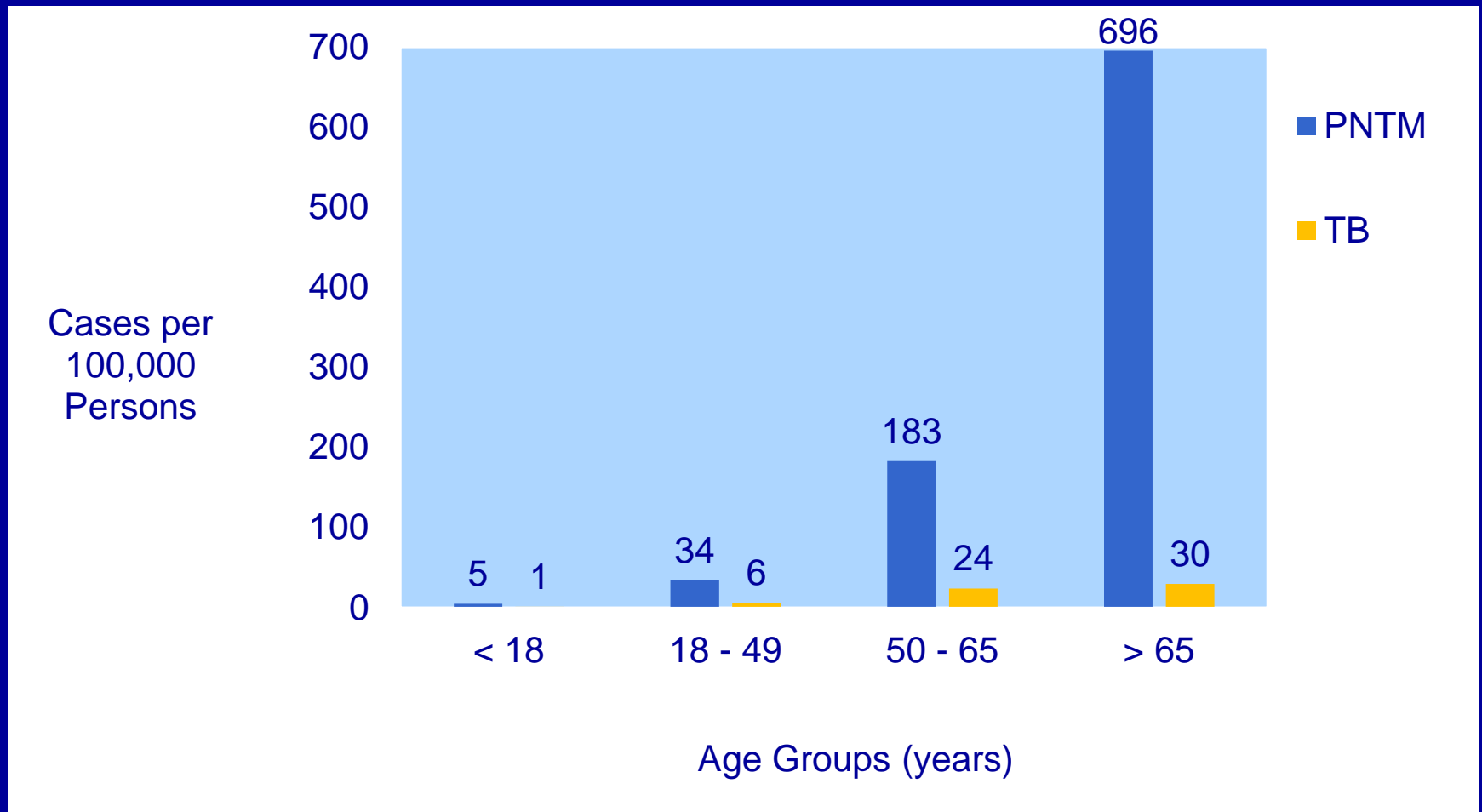


# Precise Epidemiology of NTM in a High-Risk State using Kaiser Permanente Data

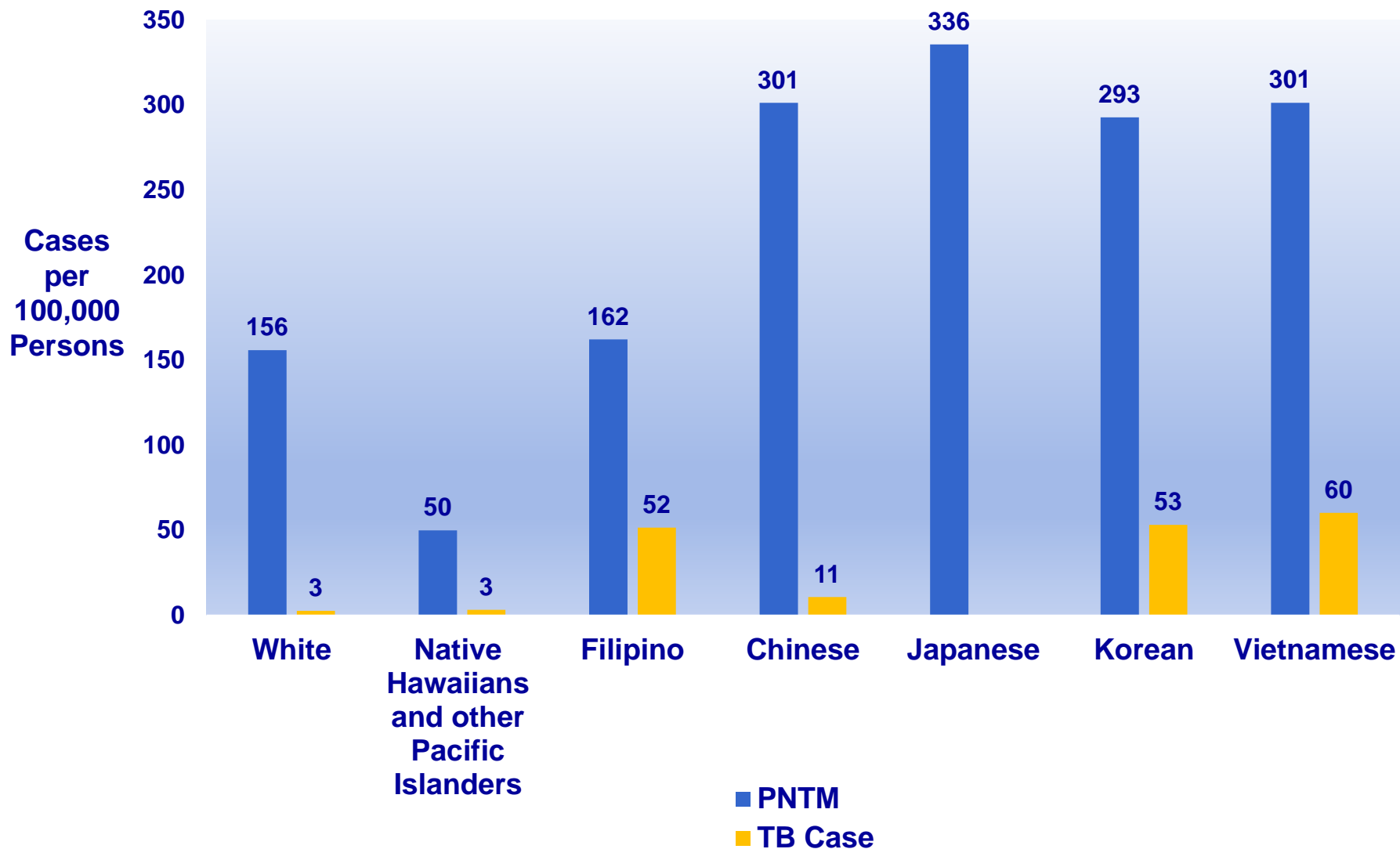
- NTM prevalence doubled over time but not for all species



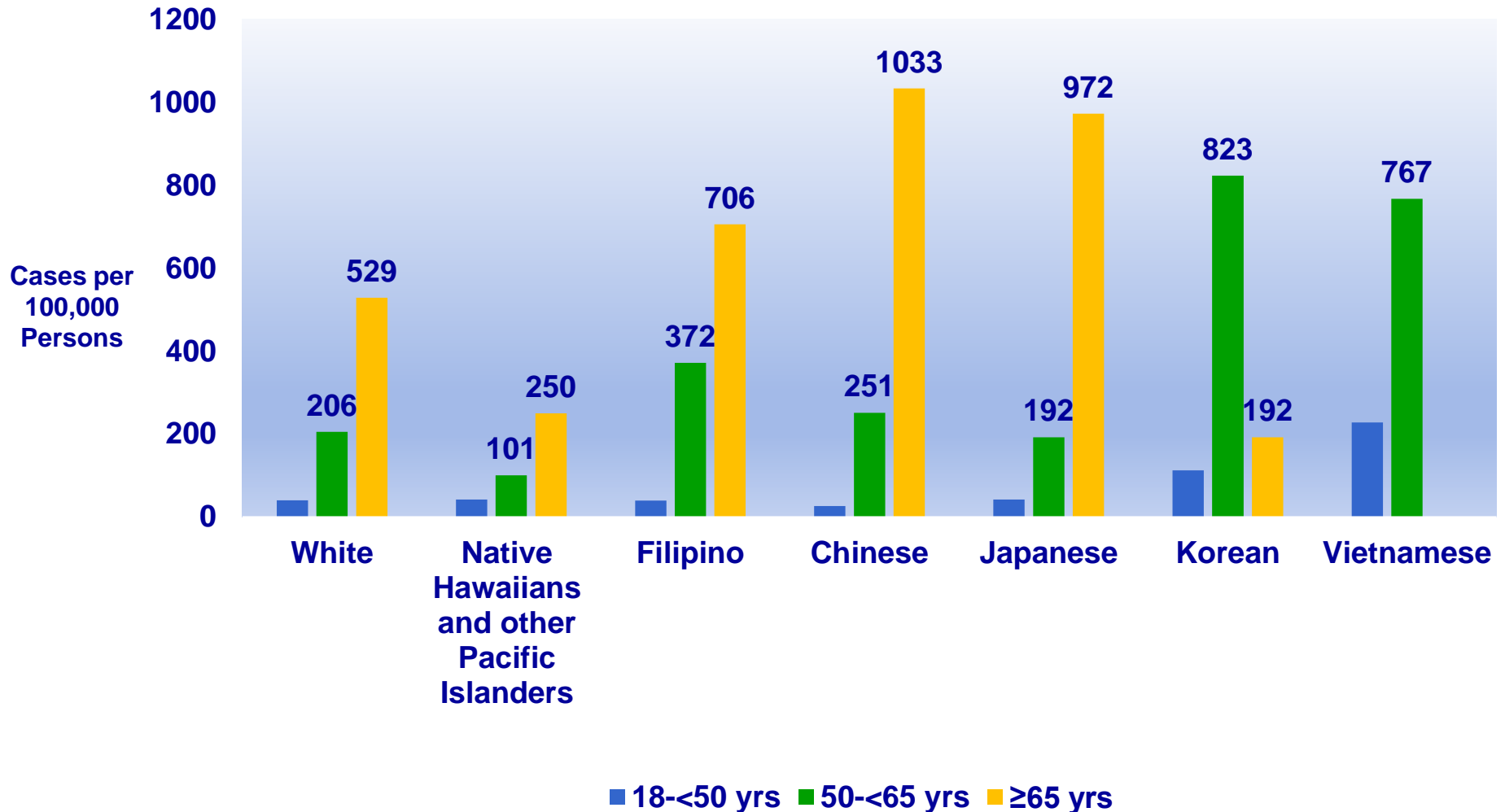
# Period Prevalence of PNTM and TB by Age Group



# Prevalence by Race/Ethnicity for NTM and TB



# Period Prevalence by Race/Ethnicity and Age Group for PNTM



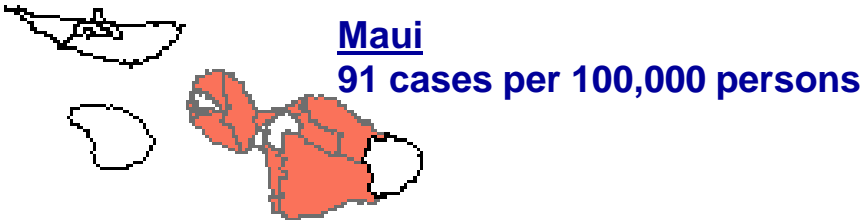
# Risk Factors for NTM and TB in a High-Risk State

Variable	NTM		TB	
	aOR	95% CI	aOR	95% CI
<b>Years in KPH</b>				
1 year	Ref	--	Ref	--
2 – 4 years	2.6	1.4-4.7*	1.7	0.5-5.7
≥ 5 years	6.4	3.6-11.2*	2.3	0.8-6.9
<b>Comorbid Condition</b>				
Bronchiectasis	8.3	6.5-10.7*	0.4	0.09-2.2
COPD	1.8	1.4-2.2*	0.4	0.2-1.1

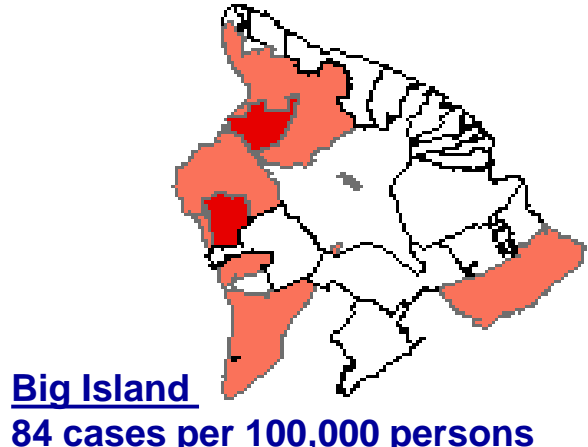
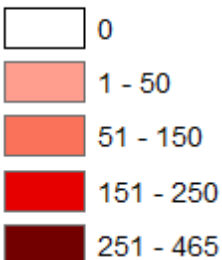
# Adjusted Risk Associated with NTM by Species

	<i>M. abscessus</i>		MAC	
Variable	aOR	95% CI	aOR	95% CI
<b>Racial/Ethnic Group</b>				
White	0.7	0.4-1.1	1.0	0.7-1.2
NHPI	0.1	0.01-1.4	0.4	0.2-0.9*
Black	1.1	0.7-16.4	1.0	0.2-4.8
Asian	2.5	1.7-3.9*	1.4	1.1-1.8*
Filipino	2.0	1.2-3.3*	1.5	1.1-2.1*
Japanese	2.0	1.2-3.2*	1.0	0.7-1.4
Chinese	1.9	0.9-3.9	1.5	0.95-2.3
Korean	2.0	0.6-7.0	1.4	0.6-3.2
Vietnamese	5.0	1.0-24.6*	3.7	1.3-10.6*
<b>Years in KPH</b>				
1 year	Ref	--	Ref	--
2 – 4 years	1.1	0.4-2.9	2.7	1.1-6.3*
≥ 5 years	2.3	0.96-5.4	7.7	3.5-16.8*
<b>Comorbid Condition</b>				
Bronchiectasis	12.0	7.6-18.8*	7.0	5.2-9.2*
COPD	1.3	0.8-2.0	1.9	1.5-2.5*

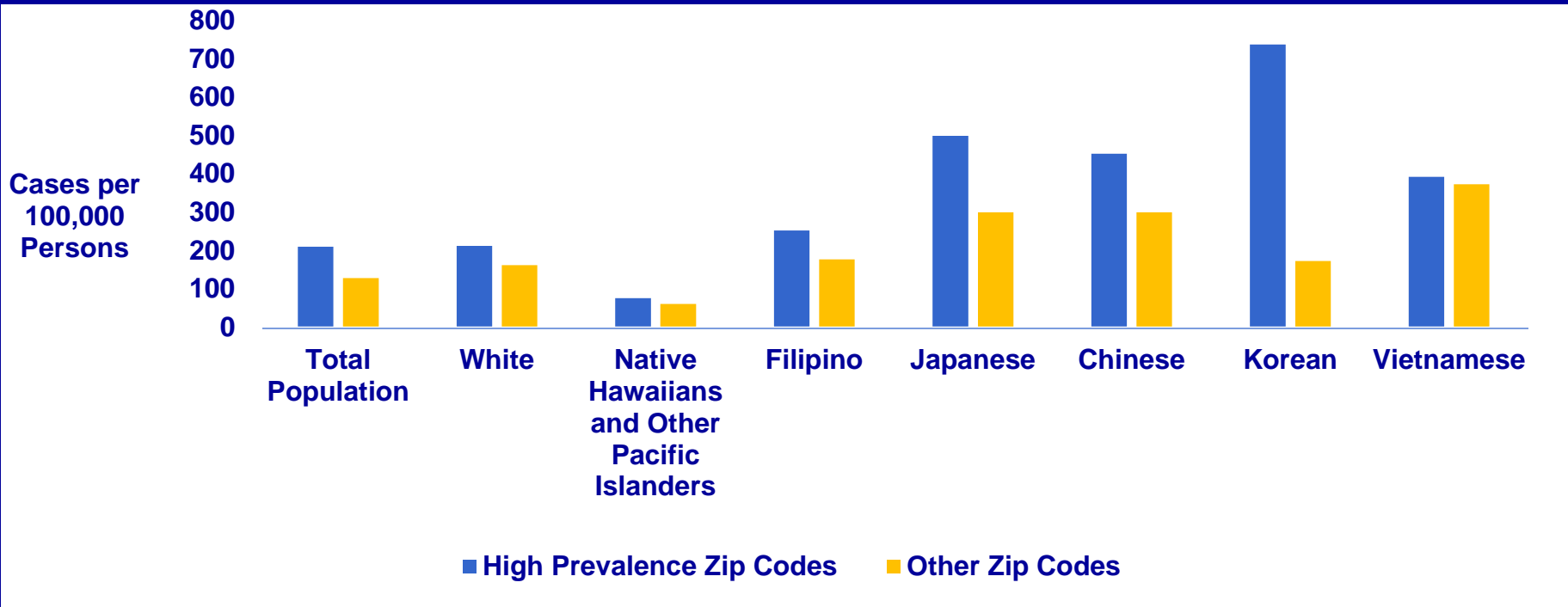
# PNTM Period Prevalence by Zip Code and Island



## NTM Cases per 100,000 Persons



# PNTM Period Prevalence by Race/Ethnicity in High Prevalence Zip Codes in Oahu



- Socioeconomic: Greater % of high-income homes (each 10%: aOR=2.0,  $p < 0.0001$ )
- Environmental: Greater % of water coverage (each 10%: aOR=1.2,  $p < 0.0001$ ) and larger annual temperature range (each degree: aOR=1.1,  $p < 0.0001$ )

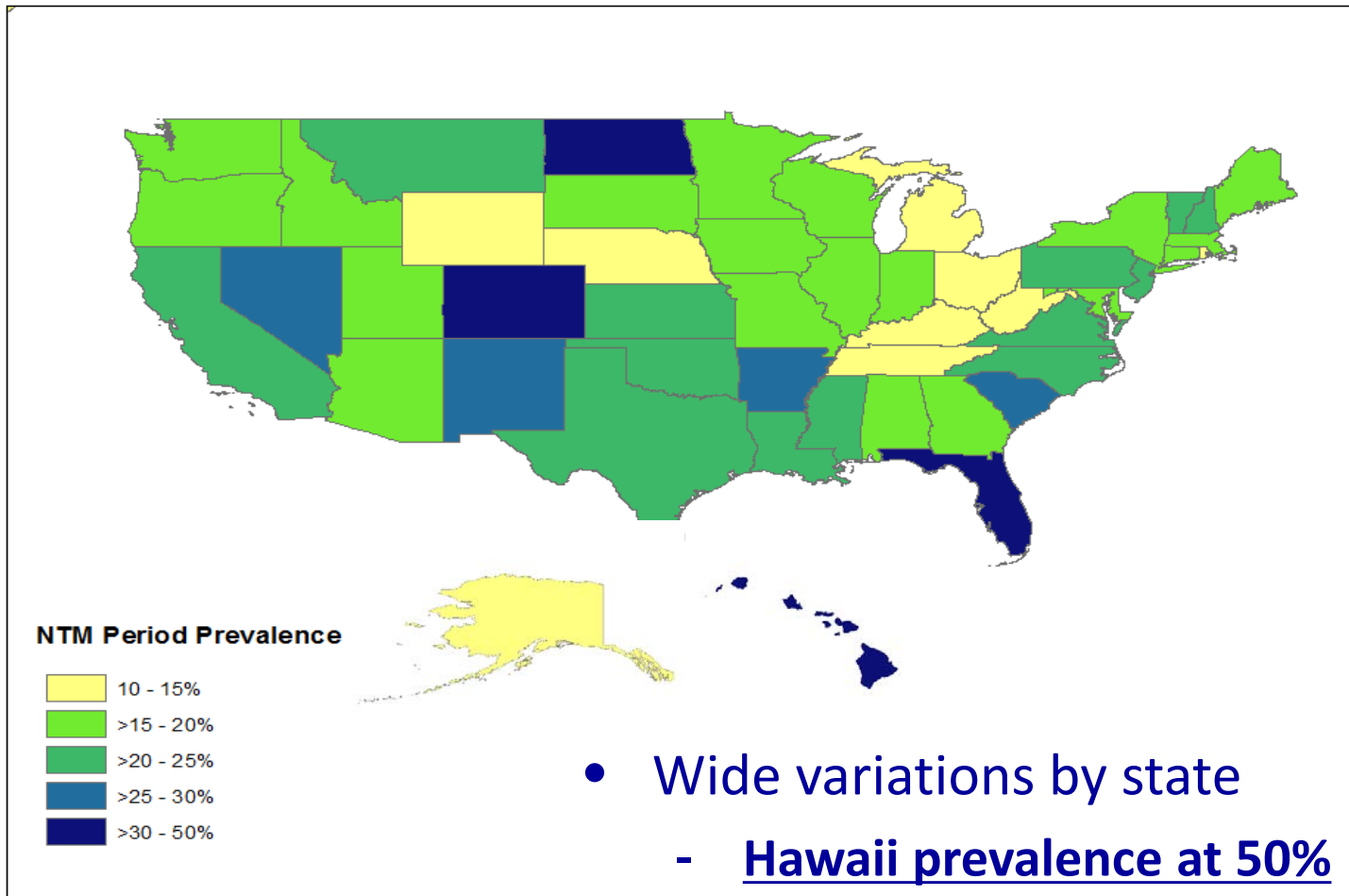


# Epidemiology of NTM in Persons with Cystic Fibrosis (CF) using Patient Registry Data

- CF Foundation (CFF) began collecting detailed NTM data starting in 2010
- Conducted several epidemiologic NTM analyses
  - Annual prevalence 90 times > than general population
  - Increase of 5.3% per year
  - Species-specific epidemiologic differences in risk and outcome

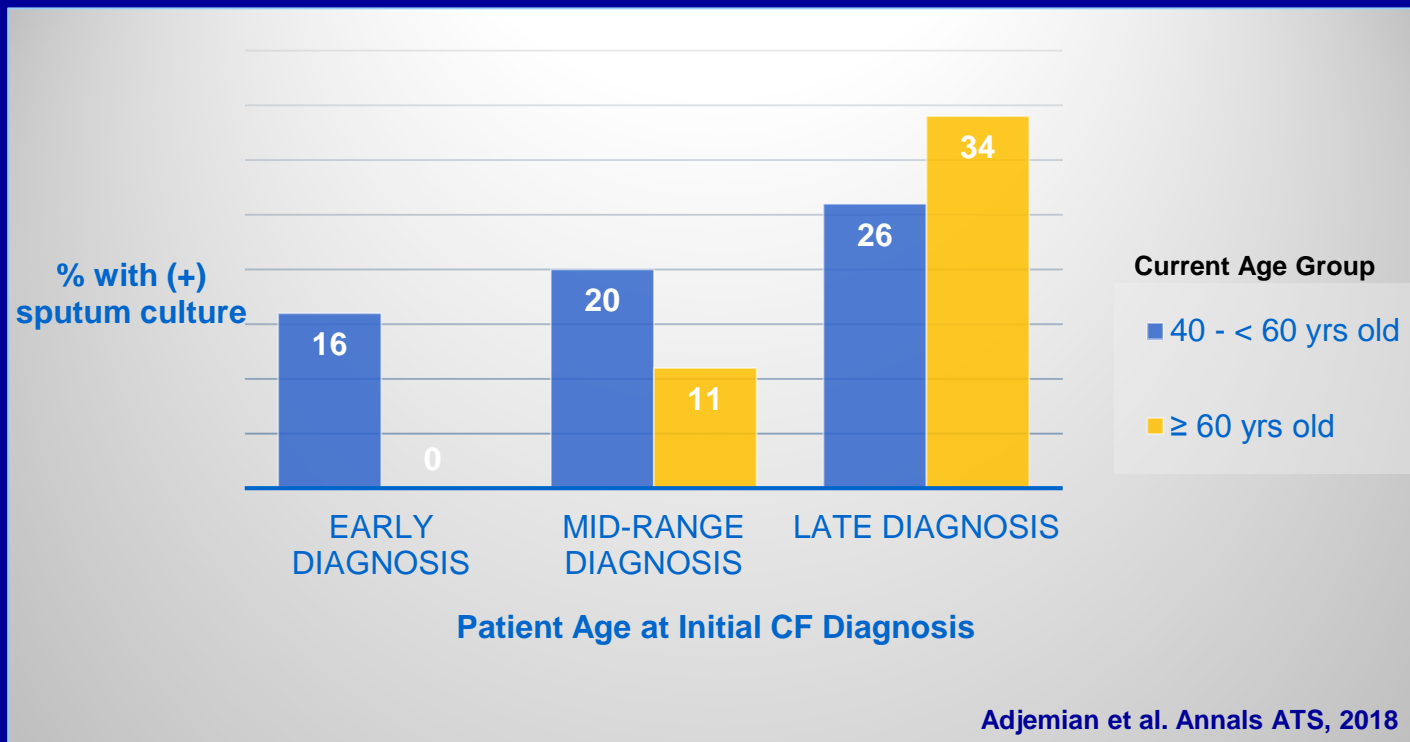


# Prevalence of PNTM isolated from persons with CF in the United States, 2010-2014



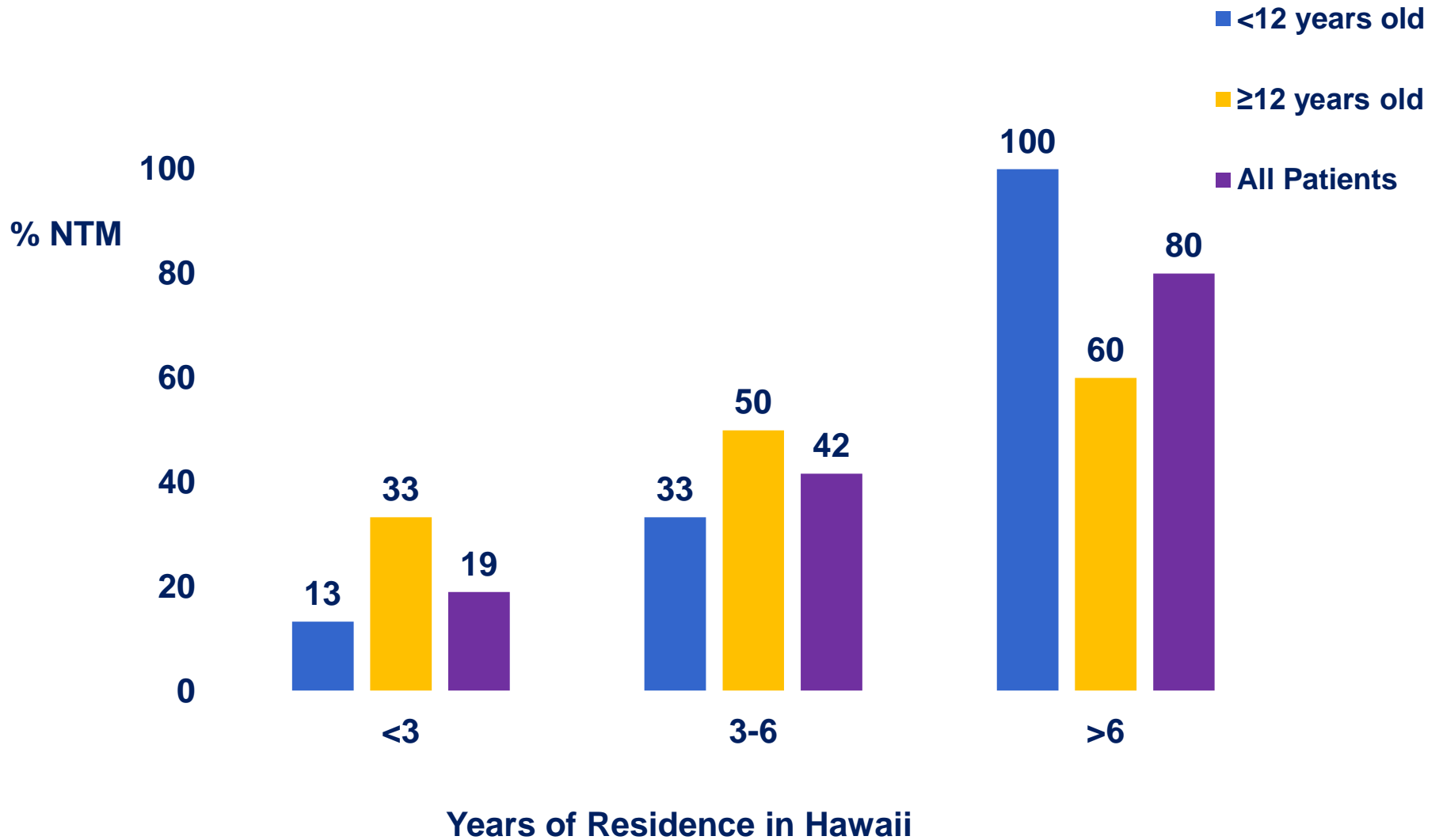


# Period Prevalence of PNTM by Age Group and by Age of Initial CF Diagnosis, 2010-2014

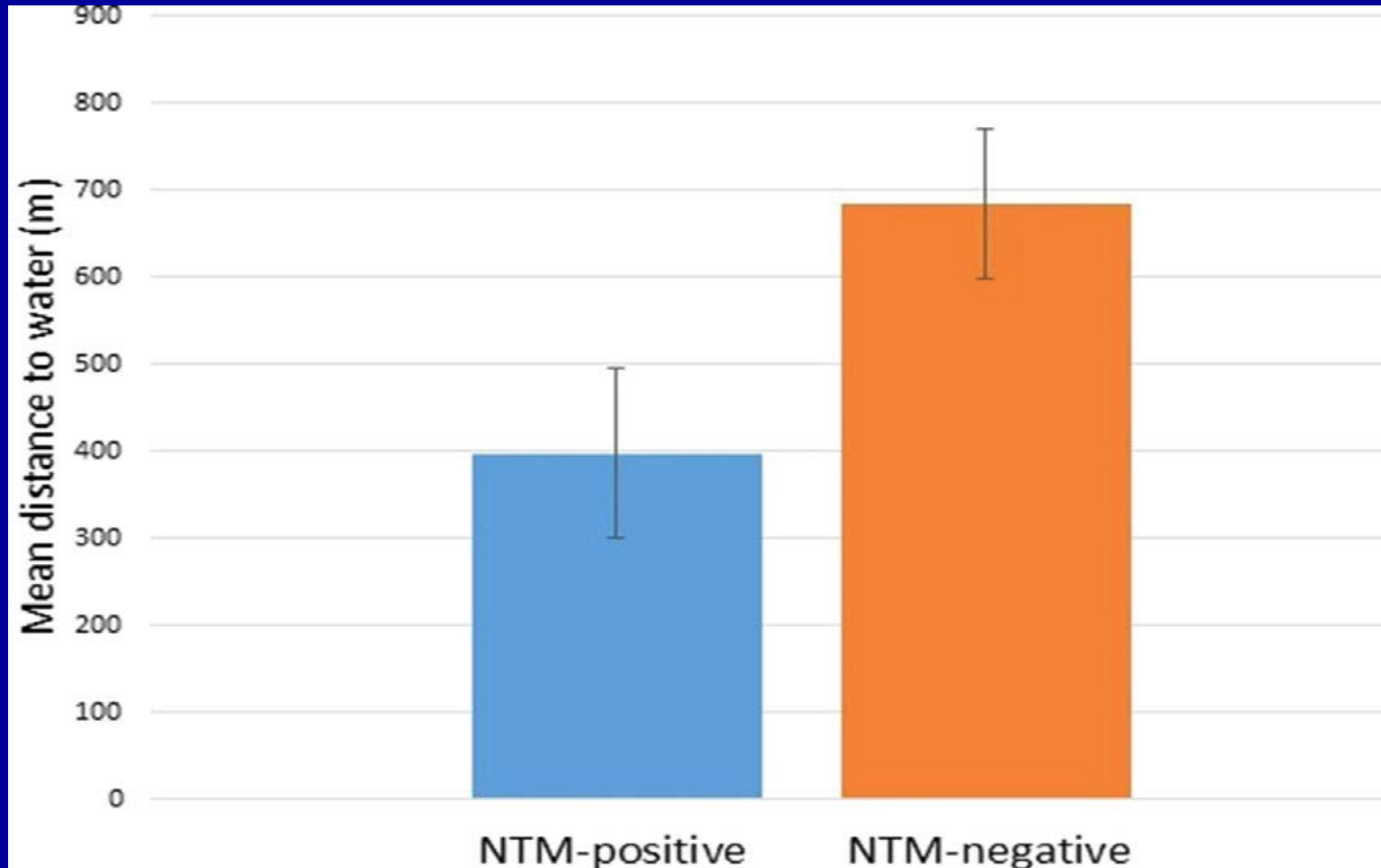


- Early diagnosis=study participants diagnosed  $\leq 3$  years old
- Mid-range diagnosis=study participants diagnosed  $>3$  and  $<30$  years old
- Late diagnosis=study participants diagnosed  $\geq 30$  years

# Prevalence of NTM in Persons with CF by Age Group and Years of Residence in Hawaii among US Military Families



# Mean Distance to Water by NTM Positivity in Persons with CF in Central Florida, 2012-2015



Bouso et al. Household Proximity to Water and Nontuberculous Mycobacteria in Children With Cystic Fibrosis; *Pediatric Pulmonology* 52:324–330 (2017)

# Summary of Host Risk Factors

- Host risks include structural, immunologic, and genetic factors
  - Structural defects like COPD identified in 18-38% of patients with NTM
  - Lung cancer also associated with increased prevalence
  - Disorders of mucociliary clearance like CF and PCD
  - Low ciliary beat frequency in study of patients with no other conditions
  - Correlations in family studies with low BMI, thoracic skeletal abnormalities, mitral valve prolapse, and connective tissue disorders
  - Older age increases risk and differences by race/ethnicity
- Certain treatment for these lung disorders can modify risk
  - TNF- $\alpha$  blockers increase risk by inhibiting immune response to NTM
  - In CF, chronic macrolide use appears to be protective

# Summary of Environmental Risk Factors

- Geographic variation in prevalence and species distribution
  - High-risk areas include parts of CA, FL, HI, LA, NY, PA, OK and WI
    - Greater amounts of moisture in air and more surface water present
    - Soil factors like higher copper and sodium and lower manganese levels
  - Hawaii consistently identified as highest risk state in the nation
    - Increased duration of residence seems to increase risk
    - Unique conditions like humic soil is associated with high numbers of NTM
  - “High-risk” states often also associated with more *M. abscessus*
    - In US hospital patients MAC ranged from 61% in West South Central states (AR, LA, OK, TX) to 91% in East South Central states (AL, KY, MS, TN)
    - In CF, MAC also ranged greatly by state, from 29% in LA to 100% in NE



# Summary of Household Risk Factors

- Household water source and water pipe biofilms may represent a potentially important source of NTM exposure
  - Studies show genetic matches between variants in samples from patient households and clinical isolates from same patients
  - Watershed affiliated with patient's area of residence may alter risk
- Soil and dust in homes also identified as potential sources
  - Aerosols from potting soils in patient homes with pathogenic species
  - Study in Florida found dose-response relationship with greater amounts of soil exposure and positive *M. avium* skin test reaction

# Summary of Behavioral Risk Factors

- Difficult to assess due to 1) rarity of disease, 2) ubiquity of organism, and 3) high frequency of common exposures
- Some case-control studies identified a few potential factors
  - Indoor swimming pool use (in CF)
  - Tap water appearing rusty or unclear (in CF)
  - Spraying plants with spray bottles (in general population in Oregon)
  - Higher levels of soil exposure (in bronchiectasis patients in Japan)

# Summary and Future Directions

- Recent epidemiologic studies highlight:
  - Increasing prevalence over time
  - Greater burden on older adults, persons of Asian ancestry, and those with certain structural and/or genetic pulmonary diseases
  - Wide geographic variations in NTM risk and species
- Future epidemiologic studies needed on:
  - Species-specific environmental reservoirs
  - Genetic modifications of risk
  - Risks for initial infection and reinfection
  - Mechanisms for dose-response relationship with greater exposure and risk of NTM

# Acknowledgements

- National Institute of Allergy and Infectious Diseases (NIAID)
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- University of Colorado, Denver
- National Jewish Health
- Cystic Fibrosis Foundation
- Kaiser Permanente
- Premier Perspectives
- US Centers for Medicare and Medicaid Services

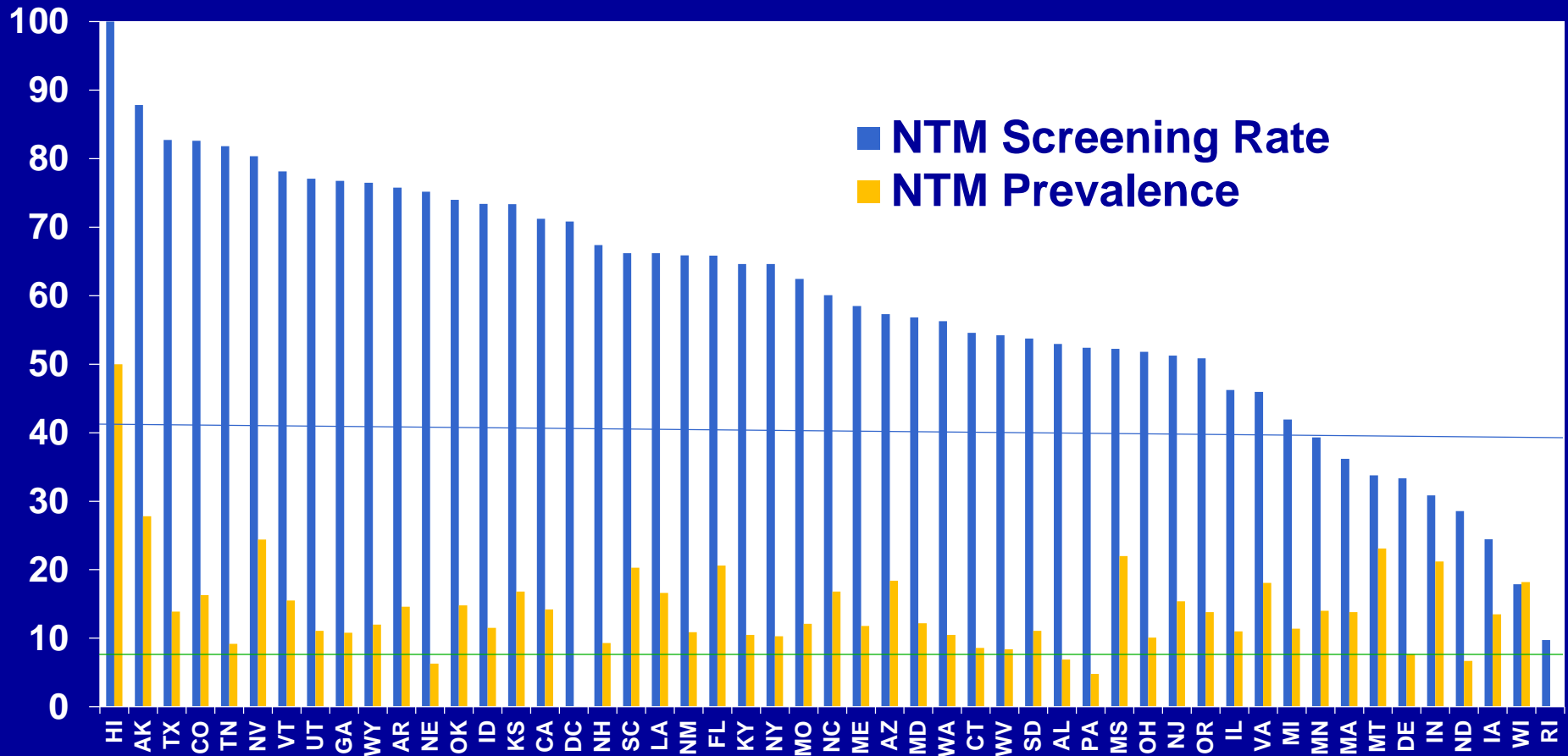
Thank you!

# Burden of NTM in the United States

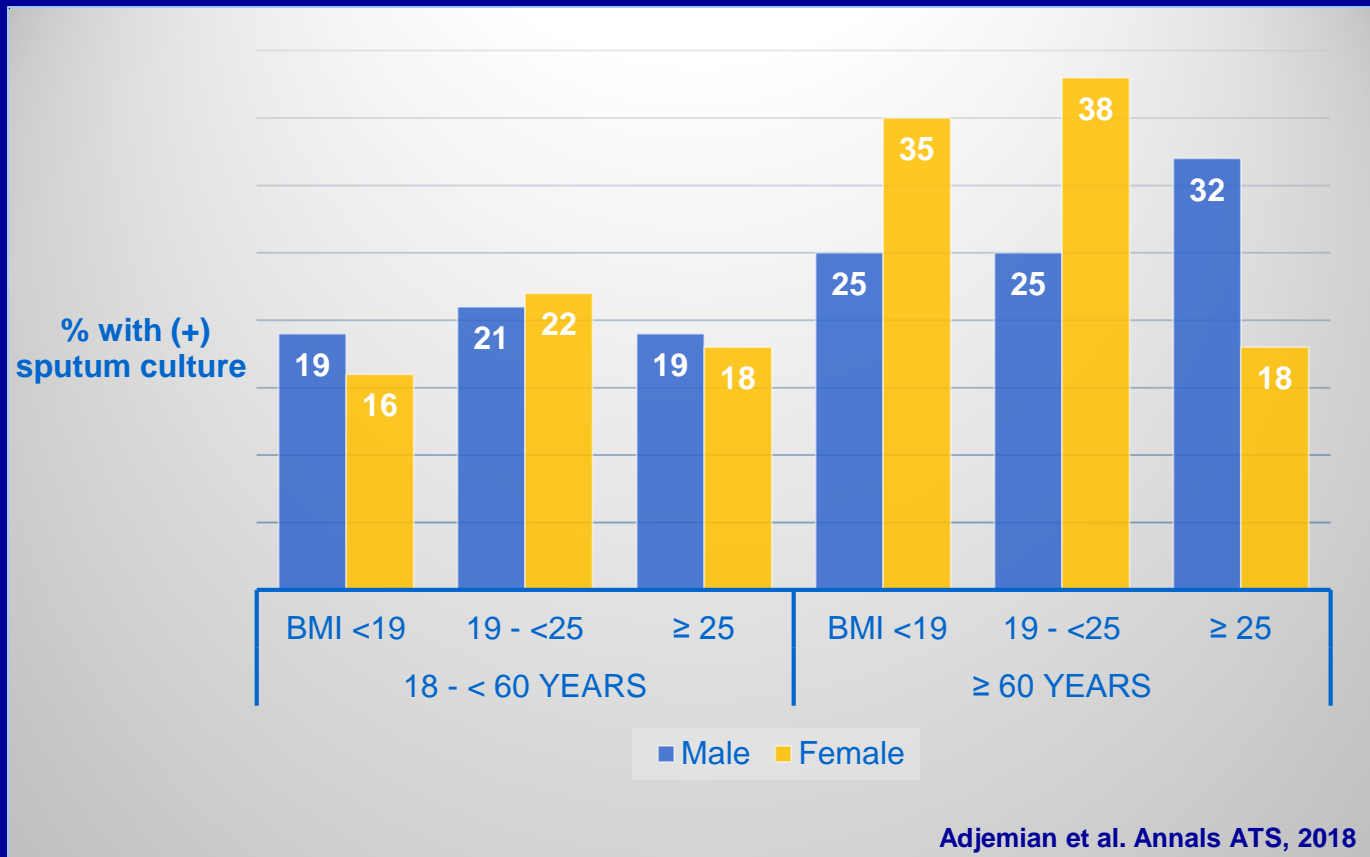
- Results showed higher financial and case burden than TB

State	Total 2010 Cases	Rank of State Population (Largest to Smallest)	Cases per 100,000 Population	Annual Cost (2014 Dollars)
California	12,544	1	44.5	\$110,690,528
Florida	11,580	4	53.6	\$98,527,193
Texas	6,792	2	39.4	\$54,983,825
New York	5,055	3	29.1	\$48,600,779
Pennsylvania	3,969	6	30.5	\$41,312,486
North Carolina	2,890	10	35.3	\$26,071,179
Arizona	2,859	16	48.9	\$24,664,441
Illinois	2,643	5	24.8	\$26,361,795
Georgia	2,365	9	34.5	\$20,847,084
Hawaii	2,131	40	164.6	\$21,800,504
<b>U.S. total</b>	<b>86,244</b>	<b>n.a.</b>	<b>27.9</b>	<b>\$815,098,690</b>

# NTM Screening Rates and Prevalence in CF Patients by State



# Period Prevalence of PNTM by Age Group, Gender and BMI in Persons with CF, 2010-2014





# NTM Treatment Guideline Adherence using Clinician Surveys and Patient EMR Data

- Surveyed clinicians to evaluate guideline adherence and identified poor compliance and often harmful practices
- Results led to global campaign to improve treatment practices through seminars, trainings, patient groups and websites

Treatment Regimen	Regimens for MAC n (% of All Regimens)
Met ATS/IDSA guidelines for MAC <sup>+</sup>	77 (13)
Did not meet ATS/IDSA guidelines for MAC <sup>+</sup>	502 (87)
Regimens that may increase macrolide resistance	174 (30)
Regimens of unknown clinical significance	3 (0.5)
Regimens that do not include macrolides	325 (56)

# Identify New Potential Clinical Trial Endpoints using Patient EMR Data

- Challenging to get new drugs approved due to lack of robust “hard outcomes” and length of observation time for NTM
- Used patient EMR data to identify earlier measures of treatment success for clinical trials
  - Semi-quantitative culture results
  - Quality of life measures

